

CHAPTER 1.3.2.

[PRINCIPLES OF] CERTIFICATION PROCEDURES

Article 1.3.2.1.

Protection of the professional integrity of the certifying veterinarian or other certifying officials

Certification should be based on the highest possible ethical standards, the most important of which is that the professional integrity of the *certifying official* or other certifying veterinarian must be respected and safeguarded.

It is essential not to include in the requirements additional specific matters that cannot be accurately and honestly signed by a *certifying official* or other veterinarian. For example, these requirements should not include certification of an area as being free from [non-notifiable] *diseases that are not notifiable in that country*, the occurrence of which the signing *certifying official* or other veterinarian is not necessarily informed about. Equally, to [require] ask for certification for events that will take place after the document is signed is unacceptable when these events are not under the direct control and supervision of the signing certifying official or other veterinarian.

Certification of freedom from *diseases* based on purely clinical freedom and aquatic animal population history [may be] is of limited value. This is also true of *diseases* for which there is no specific diagnostic test, or the value of the test as a diagnostic aid is limited.

The purpose of the note of guidance referred to in [paragraph 2 above] Article 1.3.1.1. is not only to inform the signing *certifying official* or other veterinarian but also to safeguard [his/her] professional integrity.

Article 1.3.2.2.

Procedures for the preparation of international aquatic animal health certificates

[Certification procedures]

Certificates should be drawn up in accordance with the following principles:

1. Paper certificates should be pre-printed, if possible on one sheet of paper, serially numbered, and issued by the *Competent Authority* on officially headed notepaper and, if possible, printed using techniques that prevent forgery. Electronic certification procedures should include equivalent safeguards.
2. They should be written in terms that are as simple, unambiguous and easy to understand as possible, without losing their legal meaning.
3. If so required, they should be written in the language of the *importing country*. In such circumstances, they should also be written in a language understood by the *certifying official*.
4. They should require appropriate identification of [shipments of] *aquatic animals* and *aquatic animal products* except where this is impractical (e.g. *eyed eggs*).

5. They should not require a *certifying official* to certify matters that are outside his/her knowledge or that he/she cannot ascertain and verify.
6. Where appropriate, they should be accompanied, when presented to the *certifying official*, by notes of guidance indicating the extent of enquiries, tests or examinations expected to be carried out before the certificate is signed.
7. Their text should not be amended except by deletions that must be signed and stamped by the *certifying official*. The signature and stamp must be in a colour different to that of the printing of the certificate.
8. Only original certificates are acceptable.

Article 1.3.2.3.

Certifying officials

Certifying officials should:

1. be authorised by the *Competent Authority* of the *exporting country* to sign *international aquatic animal health certificates*;
2. [sign certificates only at the appropriate time; in particular, they should not sign blank or incomplete certificates, or certificates relating to *aquatic animals* or *aquatic animal products* that they have not inspected or that have passed out of their control] only certify matters that are within their own knowledge at the time of signing the certificate, or that have been separately attested by another competent party;
3. sign only at the appropriate time [ensure before signing that] certificates that have been completed fully and correctly; where a certificate is signed on the basis of [another support certificate or attestation] supporting documentation, the *certifying official* should be in possession of that documentation before signing;
4. have no [financial] conflict of interest in the commercial aspects of the *aquatic animals* or *aquatic animal products* being certified and [not be in the direct employment of the owner of the *aquatic animals* or *aquatic animal products*] be independent from the commercial parties.

Article 1.3.2.4.

Electronic certification

1. [International aquatic animal health certificates] Certification may be provided by electronic documentation sent directly from the *Competent Authority* of the *exporting country* to the *Competent Authority* of the *importing country*. Normally such systems also provide an interface with the commercial organisation marketing the *commodity* for provision of information to the certifying authority. The *certifying official* must have access to all information such as laboratory results and aquatic animal identification data.
2. Electronic certificates should carry the same information as conventional certificates.
3. [Electronic certificates must be secure] The *Competent Authority* must have in place systems for the security of electronic certificates against access by unauthorised persons or organisations.

4. The *certifying official* must be officially responsible for the ~~[security]~~ secure use of his/her electronic signature. This may be by a personal identification number or a similar secure mechanism.

[Article 1.3.2.4.

Harmonisation of methods

In as much as the OIE has approved or agreed standards concerning:

- a) tests for the diagnosis of *diseases of aquatic animals*;
- b) the preparation, production and control of *biological products* for use in the *diagnosis* or prevention of *diseases*;
- c) *disinfection*;
- d) treatments intended to destroy viruses, bacteria or spores in *aquatic animal products* coming from countries considered to be infected with certain *diseases*;

these standards (included in the *Manual* or in this *Code* as Appendices) should be adopted by *Competent Authorities* with respect to *international trade* in *aquatic animals* and *aquatic animal products*.]